

FORM PTO-1390  
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

APPLICANT'S DOCKET NUMBER

Vertis-3 (P10142US00)

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.53)

097/869533

INTERNATIONAL APPLICATION NO.

PCT/NL99/00817

INTERNATIONAL FILING DATE

29 December 1999

PRIORITY DATE CLAIMED

29 December 1998

TITLE OF INVENTION

METHOD FOR MANUFACTURING PRODUCTS WITH NATURAL POLYMERS, AND SUCH PRODUCTS

APPLICANT(S) FOR DO/EO/US

HUISMANN, Jan Wietze

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (with modified Form PTO/SB08A and six (6) references)
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment. (with substitute claims)
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: postcard receipt, cover letter, Notice Informing Applicant of Communication of International Application to Designated Offices (1 pp.), copy of International Publication No. WO 00/39214 with Nine (9) drawings (FIGs 1-8) and International Search Report) and Notification of Transmittal of International Preliminary Examination Report with International Preliminary Examination Report with 18 amended sheets).

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

Vertis-3 (P10142US00)

09/869539

PCT/NL99/00817

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 00.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	50 - 20 =	30	x \$18.00	\$ 540.00
Independent claims	3 - 3 =	0	x \$80.00	\$ 00.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 00.00

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1,400.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$ 00.00

**SUBTOTAL =**

\$ 1,400.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 00.00

**TOTAL NATIONAL FEE =**

\$ 1,400.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 00.00

**TOTAL FEES ENCLOSED =**

\$ 1,400.00

Amount to be  
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 1,400.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 13-3083. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

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09869539 - 091101

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28 June 2001

COMMISSIONER FOR PATENTS

**BOX PCT**

Washington, D.C. 20231

S I R:

Enclosed herewith for filing is a request to begin national entry in the U.S. PTO of the following international patent application:

Inventor: **HUISMANN, Jan Wietze**

International Application No.: **PCT/NL99/00817**

International Filing Date: **29 December 1999**

Priority Claimed: **29 December 1998**

Atty. Doc.: **Vertis-3(P10142US00)**

Title: **METHOD FOR MANUFACTURING PRODUCTS WITH NATURAL POLYMERS, AND SUCH PRODUCTS**

Respectfully submitted,

MICHAELSON & WALLACE



MICHAELSON, Peter L., Attorney  
Reg. No. 30,090  
Customer No. 007265

\*\*\*EXPRESS MAIL CERTIFICATION\*\*\*

"Express Mail" mailing label number: EL632364357US

Date of deposit: 29 June 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, **BOX PCT**, Washington, D.C. 20231.



\_\_\_\_\_  
Signature of person making certification

Peter L. MICHAELSON

\_\_\_\_\_  
Name of person making certification

(VERTIS3COVERLTR/95:ca)

\*\*\*EXPRESS MAIL CERTIFICATE APPEARS ON LAST PAGE\*\*\*